

August 18, 2020

DISTRIBUTED DATA COLLECTION (DDC) FOR RISK
ADJUSTMENT (RA) INCLUDING HIGH COST RISK
POOL (HCRP):

EDGE SERVER 33.0 MAINTENANCE RELEASE
DETAIL & HCRP OVERVIEW OF CHARGES AND
PAYMENTS

EDGE Server Webinar Series X

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Agenda

- External Data Gathering Environment (EDGE) Server 33.0 Maintenance Release Detail
- HCRP Payments and Charges Overview
- BY2019 HCRP Collections & Invoices
- BY2019 HCRP Payment Proration
- BY2019 HCRP Preliminary Payment Report (PPR) & HIX 820 and Payee Information Report (PNR)
- Question and Answer (Q&A)
- Resources
- Closing Remarks

EDGE Server 33.0 Maintenance Release Detail

EDGE 33.0 Update

- CMS is in the planning and development stages of EDGE release 33.0 which is tentatively scheduled for deployment on the following date:
 - Production, Test, and Validation Zones: September 25, 2020
- The following items will be updated in the release to improve operational efficiency:
 - Software Upgrades
 - Issuers must upgrade following the deployment of EDGE 33.0
 - Failure to upgrade will result in work stoppage on EDGE
 - Creation of the EDGE Server Simulation Zone

EDGE 33.0 Detail: Software Upgrades

- EDGE Software Upgrades
 - Upgrade Oracle JDK 1.8 to Open JDK 1.8 U262
 - Upgrade MySQL 5.7 to MySQL 8.0.20/21
- Timing
 - September 2020: CMS sends issuers an invitation email to provide the timing of the software upgrades. An upgrade job aid will be included in the email
 - September 25, 2020: CMS deploys EDGE 33.0 which issuers must execute immediately
 - September 26 - October 12, 2020*: Issuers must complete upgrades

***Issuers cannot submit EDGE server data unless they have successfully completed the EDGE software upgrade.**

EDGE 33.0 Detail: Software Upgrades (continued)

- Frequently Asked Questions:
 - Q: Is EDGE 33.0 compatible with MySQL 5.7 and Oracle JDK 1.8?
 - A: No. The MySQL 5.7 configuration is not compatible with EDGE 33.0. Issuers must update MySQL in order to execute commands and ingest data.
 - Q: What happens if issuers update software prior to the release of EDGE 33.0?
 - A: EDGE 32.4 is not compatible with MySQL 8.0 and Oracle JDK 1.8. If issuers update the software prior to the release of EDGE 33.0 they will not be able to execute commands/ingest data to EDGE.

EDGE 33.0 Detail: Software Upgrades (continued)

- Q: Will TLS v1.2 in-flight encryption be supported as a part of EDGE 33.0?
 - A: No. This is not a tested part of EDGE 33.0
- Q: Will issuers be provided with links and instructions on how to update the software?
 - A: Yes, CMS will issue an email invitation in September to update the software. This will include a job aid with the appropriate links and instructions
- Q: Why do I have to update my software by October 12?
 - A: EDGE 33.0 code will not support the current configuration of MySQL 5.7. Additionally, future EDGE version updates will be incorporating features from MySQL 8.0 which are not compatible with MySQL 5.7. OpenJDK 1.8 is supported by RedHatEnterprise Linux (RHEL) and will save licensing and support costs for JDK by updating the software.

EDGE 33.0 Detail: Simulation Zone

- Simulation Zone
 - Provides the ability to complete RA simulation testing and discrepancy analysis
 - Ability to test current, archived, or future EDGE version
 - Allows the use of current or archived reference data
 - Allows the use of current or archived ingested data

EDGE 33.0 Detail: Simulation Zone (continued)

- CMS command to create simulation zone
 - Specifies EDGE version
 - Data is copied from archived or production schema to create Simulation Zone
 - File Ingest
 - Allowable by permission file
 - Local Commands
 - RA commands only
 - Allowable by permission file
 - Reports
 - File format
 - <Submitting Entity ID>.<File Type>.D<YYYYMMDD>T<hhmmss>.S.xml
 - Issuers will receive detail and summary reports
 - CMS will receive summary reports

EDGE 33.0 Detail: Documentation Updates

- EDGE 33.0 Data Models
 - [Common Schema Data Model](#)
 - [Common Validation Schema Data Model](#)
 - [Production Schema Data Model](#)
 - [Validation Schema Data Model](#)
 - [Test Data Schema Data Model](#)
- EDGE 33.0 Data Dictionaries
 - [Common Schema Data Dictionary](#)
 - [Common Validation Data Dictionary](#)
 - [Production/Test Schema Data Dictionary](#)
 - [Validation Schema Data Dictionary](#)
- EDGE 33.0 RARI ICD
 - https://www.regtap.info/reg_librarye.php?i=2707
- EDGE 33.0 Issuer ICD
 - https://www.regtap.info/reg_librarye.php?i=2591

EDGE 33.0 Detail: ICD Updates

- EDGE 33.0 RARI ICD Addenda
 - Section 1: Table of Contents updated
 - Section 2: Risk Adjustment Addendum updated
 - Descriptions updated to include Simulation Zone and .s file type
- Issuer ICD
 - Updated language to include Simulation Zone
 - Updated executionZoneCode to include S (Simulation environment)

EDGE 33.0 Detail: Data Dictionaries Updates

- Added Zone Version Info
 - Common Data Dictionary
 - Common Validation Data Dictionary

96. ZONE_VERSION_INFO

Table Name: ZONE_VERSION_INFO

Category: Reference Table

Table Definition: The table is used to store information regarding zones.



| Column Name | Data Type | Null Option Type | Column Definition |
|----------------------|--------------|------------------|-------------------------------|
| UID | BIGINT(19) | Not Null | Unique ID |
| ZONE_TYPE | VARCHAR(50) | Null | Zone Type. |
| VERSION_NUM | VARCHAR(50) | Null | Version Number |
| SOURCE_NAME | VARCHAR(50) | Null | Name of source |
| ARTIFACT_FILE_NAME | VARCHAR(100) | Null | File name of artifact |
| VERSION_NAME | VARCHAR(100) | Null | Name of this version |
| BUILD_NUMBER | VARCHAR(100) | Null | Build Number. |
| REL_NUMBER | VARCHAR(250) | Null | Release Number |
| PAYMENT_YEAR | INT(11) | Null | Year of payment |
| REFDATA_VERSION_NUMR | VARCHAR(50) | Null | Reference data version number |
| CREATE_BY | VARCHAR(50) | Null | Created by |
| CREATE_DT | DATETIME | Null | Creation date |
| LAST_MDYFD_BY | VARCHAR(50) | Null | Last modified by |
| LAST MDFYD_DT | DATETIME | Null | Last modified date |

EDGE 33.0 Detail: Data Dictionaries Updates (continued)

- Changed all datatypes of BIGINT(x) to BIGINT and INT (x) to INT
 - Prod Test Data Dictionary
 - Validation Data Dictionary
 - Common Data Dictionary
 - Common Validation Data Dictionary

6. EDGE_ERROR_LOG

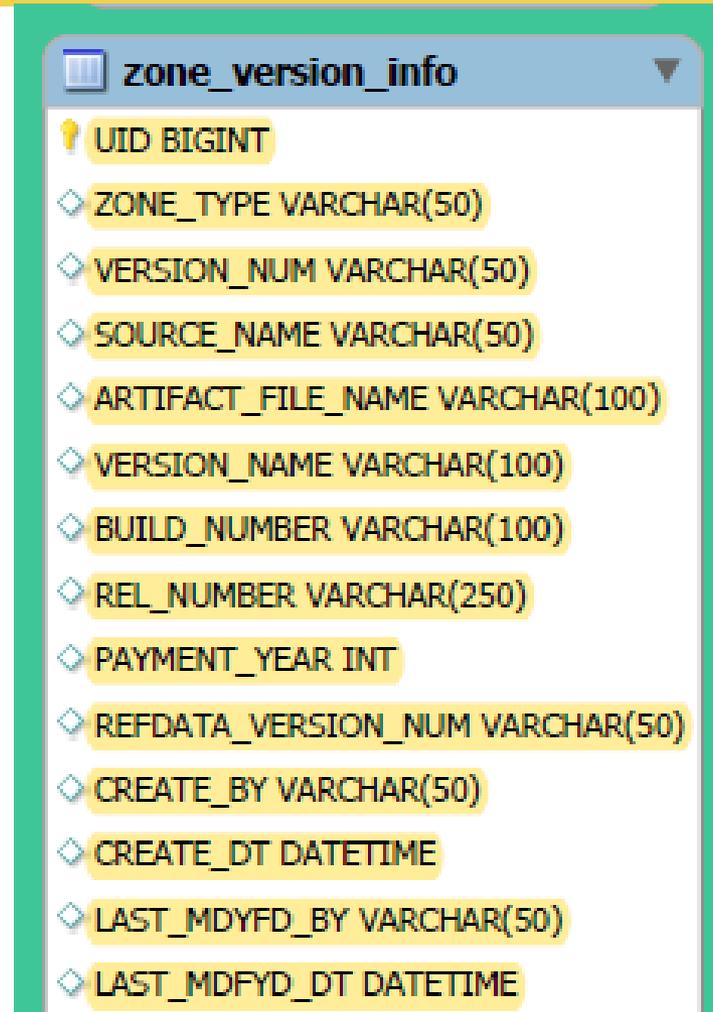
| |
|---|
| Table Name: EDGE_ERROR_LOG |
| Group: Staging & Reporting Table |
| Category: Staging Table Table Definition: The table logs business validation errors, inline validation errors into this table and it will be used for generating the outbound reports. |



| Column Name | Data Type | Null Option Type | Column Definition |
|-------------------|--------------|------------------|--|
| UID | INT | Not Null | Unique database row identifier. |
| RECORDID | BIGINT | Not Null | Unique identifier for each record in the received file. |
| RECORDLEVEL | VARCHAR(45) | Not Null | The record level is used to record the different levels in the file. For instance, issuer level, plan level, enrollee level, enrollment period level, claim details level and claim service line level, etc. |
| ELEMENTNAME | VARCHAR(100) | Null | The element name represents the XML tag name of the inbound file. The name of the element that has the error. |
| ELEMENTVALUE | VARCHAR(100) | Null | The value of the element as it was received on submission. |
| ERRORSPECIFICTYPE | VARCHAR(200) | Null | Text description of error specific type that relates to ERRORTYPENO. |
| ERRORCODE | VARCHAR(45) | Null | The code associated with the error. Internal unique Error Code number. |
| ERRORDetail | VARCHAR(500) | Null | A system generated Error Message for exceptions. |
| ERRORMESSAGE | VARCHAR(500) | Null | A pre-defined Error Message that corresponds to the Error Code. |
| JOBID | BIGINT | Not Null | Reference to the job that inserted this record. |

EDGE 33.0 Details: Data Models Updates

- Common Schema Data Model
- Common Validation Schema Data Model
 - Added Zone Version Info table

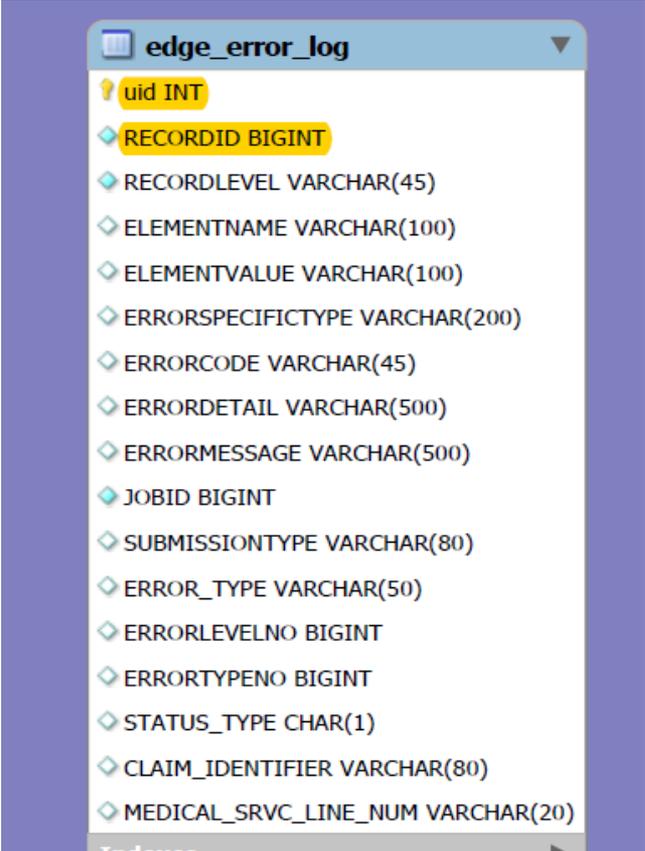


The screenshot shows a database table definition for 'zone_version_info'. The table has the following columns:

| Column Name | Data Type |
|---------------------|--------------|
| UID | BIGINT |
| ZONE_TYPE | VARCHAR(50) |
| VERSION_NUM | VARCHAR(50) |
| SOURCE_NAME | VARCHAR(50) |
| ARTIFACT_FILE_NAME | VARCHAR(100) |
| VERSION_NAME | VARCHAR(100) |
| BUILD_NUMBER | VARCHAR(100) |
| REL_NUMBER | VARCHAR(250) |
| PAYMENT_YEAR | INT |
| REFDATA_VERSION_NUM | VARCHAR(50) |
| CREATE_BY | VARCHAR(50) |
| CREATE_DT | DATETIME |
| LAST_MDYFD_BY | VARCHAR(50) |
| LAST_MDFD_DT | DATETIME |

EDGE 33.0 Details: Data Models Updates *(continued)*

- Changed all datatypes of BIGINT(x) to BIGINT and INT (x) to INT
 - Prod Schema Data Model
 - Validation Schema Data Model
 - Test Schema Data Model
 - Common Schema Data Model
 - Common Validation Schema Data Model



The screenshot displays the schema for the `edge_error_log` table. The fields and their data types are as follows:

| Field Name | Data Type |
|------------------------------------|---------------------------|
| <code>uid</code> | <code>INT</code> |
| <code>RECORDID</code> | <code>BIGINT</code> |
| <code>RECORDLEVEL</code> | <code>VARCHAR(45)</code> |
| <code>ELEMENTNAME</code> | <code>VARCHAR(100)</code> |
| <code>ELEMENTVALUE</code> | <code>VARCHAR(100)</code> |
| <code>ERRORSPECIFICTYPE</code> | <code>VARCHAR(200)</code> |
| <code>ERRORCODE</code> | <code>VARCHAR(45)</code> |
| <code>ERRORDetail</code> | <code>VARCHAR(500)</code> |
| <code>ERRORMESSAGE</code> | <code>VARCHAR(500)</code> |
| <code>JOBID</code> | <code>BIGINT</code> |
| <code>SUBMISSIONTYPE</code> | <code>VARCHAR(80)</code> |
| <code>ERROR_TYPE</code> | <code>VARCHAR(50)</code> |
| <code>ERRORLEVELNO</code> | <code>BIGINT</code> |
| <code>ERRORTYPENO</code> | <code>BIGINT</code> |
| <code>STATUS_TYPE</code> | <code>CHAR(1)</code> |
| <code>CLAIM_IDENTIFIER</code> | <code>VARCHAR(80)</code> |
| <code>MEDICAL_SRVC_LINE_NUM</code> | <code>VARCHAR(20)</code> |

High Cost Risk Pool (HCRP) BY2019 Payments & Charges

HCRP: Payment & Charge Overview

- HCRP payments and charges are calculated separately for individual (including catastrophic and merged) and small group markets as part of the Risk Adjustment methodology and are **in addition to** state-market level Risk Adjustment transfers.
- Charges are based on **current benefit year** premiums in a market. Therefore, issuers with valid BY2019 premiums will receive an HCRP charge, while only issuers with enrollees that meet the HCRP payment parameters will receive a payment.
- Charges must be collected first before payments can be made.
- **Unlike state-market level RA transfers:**
 - An issuer can receive both a payment and a charge in a market.
 - Total HCRP payments equal total charges at the nationwide-market level, NOT the state-market level.

HCRP: Payment & Charge Overview (continued)

- The [Summary Report on Permanent Risk Adjustment Transfers](#) for the 2019 Benefit Year was published on July 17, 2020. Numbers in the issuer-level tables (Tables 4 and 5 in the report, or Appendices C & D on the CMS website) represent separately calculated amounts that are NOT netted.
- For the BY2019 Medical Loss Ratio (MLR) filing that was due on July 31, 2020, issuers should have reported the net sum of all state-market RA transfers, RA default charges or default charge allocation amounts, HCRP payments, and HCRP charges, in each market, on the same line.
- **NOTE:** The amount of HCRP charge is NOT published in the summary report.

HCRP: Payment & Charge Reports

- Reports containing HCRP payment and charge amounts can be found on issuers' EDGE Server Management Consoles, accessed through HIOS for issuer contacts with an "Issuer Approver" role.
- There are two types of HCRP reports:
 - HRPICR (HCRP Issuer Charge Report)
 - HRPIPR (HCRP Issuer Payment Report)
- HCRP amounts in the BY2019 Summary Report, HRPICR, and HRPIPR account for discrepancies reported during the BY2019 discrepancy period.
 - **Unlike BY18, Final EDGE HCRP reports (High Cost Risk Pool Summary Report [HCRPSR] and the High Cost Risk Pool Detail Report [HCRPDE]) account for discrepancies and should match data reported in the HRPIPR and HRPICR**

HCRP: BY2019 Tentative Timeline

| HCRP Activity for Benefit Year 2019 | Date | Status |
|---|----------------------------|--------------------|
| <i>Issuers begin submitting data to EDGE for HCRP & RA</i> | <i>August 2019</i> | <i>Completed</i> |
| <i>Release of HCRP EDGE Reference Guide and issuer webinar</i> | <i>September 2019</i> | <i>Completed</i> |
| <i>Release of EDGE 28.0, including HCRP payment calculation and EDGE report generation</i> | <i>October 2019</i> | <i>Completed</i> |
| <i>First HCRP remote command deployment</i> | <i>November 2019</i> | <i>Completed</i> |
| <i>Deadline to complete BY 2019 EDGE data submission</i> | <i>May 14, 2020</i> | <i>Completed</i> |
| Annual final report of RA & HCRP results published; HCRP issuer reports released | July 17, 2020 | Completed |
| Final HCRP charges invoiced to issuers | Mid-August 2020 | In progress |
| CMS begins collecting HCRP charges (due 30 days from invoice date) | August-October 2020 | In progress |
| CMS begins remitting final HCRP payments to issuers | November 2020 | TBD |

For more information on EDGE server key dates, see the [2019 Benefit Year EDGE Key Milestone, Command Deployment and Plan Data Submission Dates](#) on the Registration for Technical Assistance Portal (REGTAP).

High Cost Risk Pool BY2019 Collections & Invoices

HCRP: Charge Report

- **High Cost Risk Pool Issuer Charge Report (HRPICR):**
 - HRPICR contains information on each issuer’s market-level total HCRP payment and charge for the benefit year, and the remaining balance of charge left to pay.
 - The first HCRP charge report for BY2019 was released to issuers on July 17, 2020.
 - “File ID” should begin with “HRPICR”, “Program Year” should be 2019 and “Program” should be HCRP.

| | | | | | | | | | |
|---------------|-------------------|---------|-------------|---------------------------------|-------------------------|------------------------|----------------------|-----------------------------|--------------|
| File ID | HRPICR.ABCDEFGHIJ | | | | | | | | |
| File Run Date | 07/10/2020 | | | | | | | | |
| Program Year | 2019 | | | | | | | | |
| State | PA | | | | | | | | |
| Batch ID | Issuer ID | Program | Market | EDGE Calculated HCRP Payment | Total Issuer Premium | Total Subscriber MM | Total HCRP Charge | HCRP Premium Charge Rate | Charge Delta |
| 410705 | 12345 | HCRP | Individual | 1306351.95 | 281936380 | 276712.2 | -669164.5 | 0.002373566 | -669164.5 |
| 410705 | 12345 | HCRP | Small Group | 0 | 9006991.08 | 110265.6 | -33000.41 | 0.003691178 | -33000.41 |

HCRP: Charge Report (continued)

- **HRPICR fields:**

- **Batch ID:** identifies the specific charge calculation run used in the report. For the July 17 HRPICR reports, all issuers should have “410705” in this field.
- **Market:** values are Individual and/or Small Group
 - Individual includes all catastrophic plans and merged market issuers.
 - Merged market issuers will have a row for small group populated with zeroes.
 - If an issuer informed CMS that they were not participating in a market, but submitted data to EDGE for that market, the report MAY include a row for that market indicating zero payment and zero charge.
- **EDGE Calculated HCRP Payment:** total BY2019 HCRP payment
 - This should equal the amount in the Summary RA Report and is adjusted for any reported discrepancies.

| File ID | HRPICR.ABCDEFGHIJ | | | | | | | | |
|---------------|-------------------|---------|-------------|------------------------------|----------------------|---------------------|-------------------|--------------------------|--------------|
| File Run Date | 07/10/2020 | | | | | | | | |
| Program Year | 2019 | | | | | | | | |
| State | PA | | | | | | | | |
| | | | | | | | | | |
| Batch ID | Issuer ID | Program | Market | EDGE Calculated HCRP Payment | Total Issuer Premium | Total Subscriber MM | Total HCRP Charge | HCRP Premium Charge Rate | Charge Delta |
| 410705 | 12345 | HCRP | Individual | 1306351.95 | 281936380 | 276712.2 | -669164.5 | 0.002373566 | -669164.5 |
| 410705 | 12345 | HCRP | Small Group | 0 | 9006991.08 | 110265.6 | -33000.41 | 0.003691178 | -33000.41 |

HCRP: Charge Report (continued)

- **HRPICR fields (continued):**
 - **Total Issuer Premium:** total BY2019 premium, adjusted for any reported discrepancies
 - **Total HCRP Subscriber MM:** currently displays total subscriber member months for enrollees with HCRP payments
 - **Total HCRP charge:** total dollar amount of BY2019 HCRP charge. This field = Total Issuer Premium x HCRP Premium Charge Rate
 - **HCRP Premium Charge Rate:** market-level percent of premium HCRP charge
 - **Charge Delta:** remaining balance left to remit to CMS
 - In the July 17 HRPICR, this field should equal the Total HCRP Charge field.

| File ID | HRPICR.ABCDEFGHIJ | | | | | | | | |
|---------------|-------------------|---------|-------------|---------------------------------|-------------------------|------------------------|----------------------|-----------------------------|--------------|
| File Run Date | 07/10/2020 | | | | | | | | |
| Program Year | 2019 | | | | | | | | |
| State | PA | | | | | | | | |
| | | | | | | | | | |
| Batch ID | Issuer ID | Program | Market | EDGE Calculated HCRP Payment | Total Issuer Premium | Total Subscriber MM | Total HCRP Charge | HCRP Premium Charge Rate | Charge Delta |
| 410705 | 12345 | HCRP | Individual | 1306351.95 | 281936380 | 276712.2 | -669164.5 | 0.002373566 | -669164.5 |
| 410705 | 12345 | HCRP | Small Group | 0 | 9006991.08 | 110265.6 | -33000.41 | 0.003691178 | -33000.41 |

HCRP: Invoice Number

- HCRP charge invoices are:
 - Separate from Risk Adjustment
 - Uniquely numbered for HCRP
 - Netted against any payments owed to the issuer when possible
- Example: For an issuer with an HRPICR indicating charges in both markets, and has a payee ID of A000001, there should be two August 2019 HCRP invoices, one with invoice number X191908A00000101 and the other Y191908A00000101.

| Position in Invoice Number | Type | Sample Value |
|---|--------------------------|------------------------|
| 1 | Market | X or Y |
| 2-3 | Program Year | 19 |
| 4-7 | Year/Month | 1908 |
| 8-14 (7 digit Payee) or 8-15 (8 digit Payee) | Payee ID | A000001 or A0000001 |
| 15-16 (7 digit Payee) or 16-17 (8 digit Payee) | Transactional Seq Number | 01 |

High Cost Risk Pool BY2019 Payment Proration

HCRP: Payment Proration Overview

- HCRP charges must be collected first before any payments are remitted.
- In the August 2020 payment cycle, CMS will net state-market RA charges, RA default charges, RA user fee charges, and HCRP charges, against any other payments being made in the August payment cycle, for issuers owing those charges.
- Like RA charge collection distribution, HCRP charges collected will be distributed by allocating the collected amounts against the HCRP charge for the Payee ID.
- HCRP charges collected as of the current payment cycle will be prorated against HCRP payments after sequestration, when applicable.

HCRP:

Payment Proration Overview (continued)

- CMS plans to begin remitting prorated BY2019 HCRP payments during the November 2020 payment cycle.
 - No HCRP payments will be made in September or October 2020 payment cycles.
- CMS will continue HCRP payment proration until all collected HCRP charges have been released. Depending on netting and funds availability, HCRP payment cycles may differ from those of state-market RA transfers.
- CMS will notify issuers when all charges for each HCRP market has been fully collected, or when CMS has exhausted all means to collect outstanding HCRP debts.

HCRP: Sequestration & Holdback

- Funds **collected and paid out within the same fiscal year** are subject to sequestration. Therefore, HCRP charges collected on or before the invoice due date (in FY2020) will NOT be subject to the 5.9% sequestration for RA, because they will not be paid out until the November 2020 payment cycle (in FY2021).
- Any HCRP charges collected and paid out within FY2021 are subject to the same sequestration rate as RA, which is 5.7% for FY 2021.
- CMS will no longer hold back amounts for pending appeals. In the event an appeal is successful, CMS will make any necessary post-calculation adjustments.

HCRP: Payment Report

- **High Cost Risk Pool Issuer Payment Report (HRPIPR):**
 - HCRP Issuer **Payment** Report contains information about payment amounts by cycle, including any adjustments and remaining balances.
 - The first HRPIPR will not be released until the first HCRP payments are remitted.
 - “File ID” should begin with “HRPICR”, “Program Year” should be 2019 and “Program” should be HCRP.
 - The report will be released with each HCRP payment cycle.

| File ID | HRPIPR.P65W5VH42Y | | | | | | | | | | | | | |
|----------------------------------|-------------------|-------------|----------|-----------------|------------------|-------------------|------------------------------|-------------------------|------------------------|----------------|------------------------------|-------------------------------|---------------------------|--------------------------|
| File Run Date | 07/10/2020 | | | | | | | | | | | | | |
| Program | HCRP | | | | | | | | | | | | | |
| Program Year | 2019 | | | | | | | | | | | | | |
| State | VA | | | | | | | | | | | | | |
| Latest Individual Market Cycle# | 3 | | | | | | | | | | | | | |
| Latest Small Group Market Cycle# | 3 | | | | | | | | | | | | | |
| Batch ID | Issuer ID | Market | Cycle ID | Adjustment Type | Adjustment Notes | Adjustment Amount | EDGE Calculated HCRP Payment | Cycle Remaining Balance | Cycle Proration Factor | Payment Amount | Next Cycle Remaining Balance | Fraction of EDGE Payment Paid | Payment Aggregation Month | Payment Aggregation Year |
| 327047 | 12345 | Individual | 1 | Proration Cycle | | 0 | 199999 | 199999 | 0.0124002 | 2480.03 | 197518.97 | 0.0124002 | | |
| 327054 | 12345 | Individual | 2 | Proration Cycle | | 0 | 199999 | 197518.97 | 0.012555896 | 2480.03 | 195038.94 | 0.024800401 | 10 | 2019 |
| 327057 | 12345 | Individual | 3 | Proration Cycle | | 0 | 199999 | 195038.94 | 0.012715551 | 2480.03 | 192558.92 | 0.037200601 | 8 | 2020 |
| 327047 | 12345 | Small Group | 1 | Proration Cycle | | 0 | 999999 | 999999 | 0.013125015 | 13125 | 986874 | 0.013125015 | | |
| 327054 | 12345 | Small Group | 2 | Proration Cycle | | 0 | 999999 | 986874 | 0.013299572 | 13125 | 973749 | 0.026250031 | 10 | 2019 |
| 327057 | 12345 | Small Group | 3 | Proration Cycle | | 0 | 999999 | 973749 | 0.013478835 | 13125 | 960623.99 | 0.039375046 | 8 | 2020 |

HCRP: Payment Report (continued)

- **HRPIPR:**

- **Cycle Remaining Balance:** amount left to pay issuer PRIOR to the current cycle
- **Cycle Proration Factor:** percent of Cycle Remaining Balance being paid in this cycle Equals (Total Available Funds for Cycle)/(Cycle Remaining Balance)
- **Payment Amount:** amount of HCRP payment remitted to issuer in current cycle, OR amount of line item adjustment if Adjustment Type = Line Item Adjustment
- **Next Cycle Remaining Balance:** amount left to pay issuer AFTER the current cycle
- **Payment Aggregation Month/Year:** displays the month and year that the payment aggregation job was run for a particular cycle

| File ID | HRPIPR.P65W5VH42Y | | | | | | | | | | | | | |
|----------------------------------|-------------------|-------------|----------|-----------------|------------------|-------------------|------------------------------|-------------------------|------------------------|----------------|------------------------------|-------------------------------|---------------------------|--------------------------|
| File Run Date | 07/10/2020 | | | | | | | | | | | | | |
| Program | HCRP | | | | | | | | | | | | | |
| Program Year | 2019 | | | | | | | | | | | | | |
| State | VA | | | | | | | | | | | | | |
| Latest Individual Market Cycle# | 3 | | | | | | | | | | | | | |
| Latest Small Group Market Cycle# | 3 | | | | | | | | | | | | | |
| Batch ID | Issuer ID | Market | Cycle ID | Adjustment Type | Adjustment Notes | Adjustment Amount | EDGE Calculated HCRP Payment | Cycle Remaining Balance | Cycle Proration Factor | Payment Amount | Next Cycle Remaining Balance | Fraction of EDGE Payment Paid | Payment Aggregation Month | Payment Aggregation Year |
| 327047 | 12345 | Individual | 1 | Proration Cycle | | 0 | 199999 | 199999 | 0.0124002 | 2480.03 | 197518.97 | 0.0124002 | | |
| 327054 | 12345 | Individual | 2 | Proration Cycle | | 0 | 199999 | 197518.97 | 0.012555896 | 2480.03 | 195038.94 | 0.024800401 | 10 | 2019 |
| 327057 | 12345 | Individual | 3 | Proration Cycle | | 0 | 199999 | 195038.94 | 0.012715551 | 2480.03 | 192558.92 | 0.037200601 | 8 | 2020 |
| 327047 | 12345 | Small Group | 1 | Proration Cycle | | 0 | 999999 | 999999 | 0.013125015 | 13125 | 986874 | 0.013125015 | | |
| 327054 | 12345 | Small Group | 2 | Proration Cycle | | 0 | 999999 | 986874 | 0.013299572 | 13125 | 973749 | 0.026250031 | 10 | 2019 |
| 327057 | 12345 | Small Group | 3 | Proration Cycle | | 0 | 999999 | 973749 | 0.013478835 | 13125 | 960623.99 | 0.039375046 | 8 | 2020 |

High Cost Risk Pool Preliminary Payment Report (PPR), HIX 820, and Payee Information Report (PNR)

HCRP: Payment Type Codes and WPC Site

- HCRP will use the following payment type codes on the PPR, PNR, and HIX 820.

| Transaction Type | Payment Type Code | Invoice Qualifier Code | Positive/Negative Rules |
|---|-------------------|------------------------|-----------------------------|
| High Cost Risk Pool Contribution and Payments | HCRPC | X (Individual) | Positive & Negative Amounts |
| | | Y (Small Group) | |
| | HCRPP | X (Individual) | Positive & Negative Amounts |
| | | Y (Small Group) | |
| HCRP Prior Year Refund | HCRPPYREF | X (Individual) | Only Positive Amounts |
| | | Y (Small Group) | Only Positive Amounts |
| HCRP Admin Refund | HCRPADMIN | Z | Only Positive Amounts |
| HCRP Interest Refund | HCRPINT | Z | Only Positive Amounts |

- These payment type codes along with all other payment type codes that appear on the PPR, PNR, and HIX 820 can be found on the Washington Publishing Company (WPC) website: <http://www.wpc-edi.com/reference/codelists/hix/payment-type-codes/>.

Questions?

- To submit or withdraw questions by phone:
 - Dial “**star(*)**, **pound (#)**” on your phone’s keypad to ask a question.
 - Dial “**star(*)**, **pound (#)**” on your phone’s keypad to withdraw your question.
- Please Note: If you are listening to today’s session through your computer speakers and wish to ask a question, please take a moment to dial 1-866-408-4912 and enter your unique six-digit PIN.
 - Then dial “**star(*)**, **pound (#)**” on your phone’s keypad.

Upcoming Series X Webinar

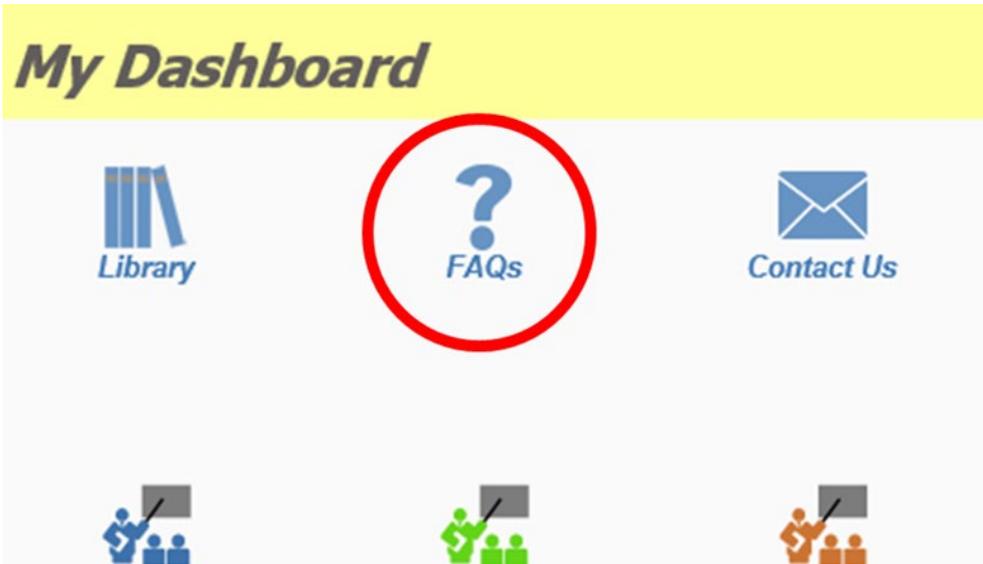
| Webinar | Scheduled Event Date |
|--|--------------------------|
| Benefit Year (BY) 2020 EDGE Baseline Reporting Process Overview, and Risk Adjustment (RA) Sequestration and Proration | September 1, 2020 |

Resources

Resources

| Resource | Link/Contact Information |
|---|---|
| Center for Consumer Information and Insurance Oversight (CCIIO) | http://cms.gov/ccio/ |
| Registration for Technical Assistance Portal (REGTAP) <ul style="list-style-type: none">• Registration• Inquiry Tracking and Management System (ITMS)• Resource Library• Frequently Asked Questions (FAQs) | https://www.REGTAP.info/ |

FAQ Database on REGTAP



The FAQ Database is available at <https://www.regtap.info/>.

- The FAQ Database allows users to search FAQs by FAQ ID, Keyword/Phrase, Program Area, Primary and Secondary categories, Benefit Year, and Publish Date.

FAQ Search

FAQ ID Enter single FAQ ID or multiple IDs (1-10 or 15,18,87)

Keyword/Phrase

Program Area

Select All
ACA Financial Appeals
Agent Broker
Distributed Data Collection (DDC) for RA Including HCRP/EDGE
Server
Enhanced Direct Enrollment
Enrollment and Eligibility
Event Registration and Logistics
HHS-Operated Risk Adjustment Data Validation (RADV)
High Cost Risk Pool (HCRP)

Primary Category

Secondary Category

Benefit Year

Publish Date

Start Date End Date

FAQs to Display: Current FAQs Only
 Retired FAQs Only
 All FAQs (Current and Retired)

DDC/EDGE Server Resource Page

- The DDC/EDGE Server Resource Page provides central access to job aids, announcements, documentation, FAQs, deadlines, and other resources.
- To access the DDC/EDGE Server Resource Page, click on the Program Area Pages icon on 'My Dashboard' or access the page at <https://www.REGTAP.info/ddc.php>.



Distributed Data Collection (DDC) for Risk Adjustment (RA) Including High Cost Risk Pool (HCRP)/EDGE Server [Get Adobe Reader](#)

2018 RA Default Charge Data Submission
March 5 - April 12, 2019 (Deadline - 11:59 p.m. ET)

Days Left
28

EDGE 30.0 Released to Test and Production Zones

MARCH
29

EDGE SERVER TIMELINE
click image to enlarge

TOPICS OF INTEREST

- [REFERENCE TABLE UPDATES](#)
- [BASELINE SUBMISSION](#)
- [DATA SUBMISSION](#)
- [ENROLLMENT SUBMISSION](#)
- [MEDICAL SUBMISSION](#)
- [PHARMACY SUBMISSION](#)
- [SUPPLEMENTAL SUBMISSION](#)

EDGE Core Resources

- [EDGE Server Operations & Maintenance Manual \(O&MM\) V 4.0](#)
- [EDGE Server Business Rules \(ESBR\) V 11.0](#)
- [Interface Control Document \(ICD\) V 02.01.15](#)
- [EDGE Server Mailbox Assistance](#)

[View More](#)

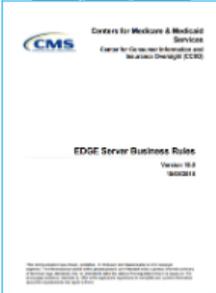
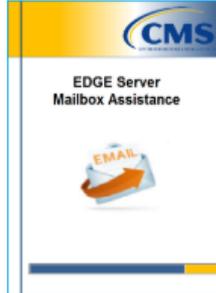
DDC/EDGE Server Resource Page

(continued)

TOPICS OF INTEREST

| | |
|---|---------------------------------------|
| REFERENCE TABLE UPDATES | BASELINE SUBMISSION |
| DATA SUBMISSION | ENROLLMENT SUBMISSION |
| MEDICAL SUBMISSION | PHARMACY SUBMISSION |
| SUPPLEMENTAL SUBMISSION | |

EDGE Core Resources

| | | | |
|---|--|---|---|
| <p>EDGE Server Operations & Maintenance Manual (O&MM) V 3.0</p>  | <p>EDGE Server Business Rules (ESBR) V 10.0</p>  | <p>Interface Control Document (ICD) V 02.01.15</p>  | <p>EDGE Server Mailbox Assistance</p>  |
|---|--|---|---|

[View More](#)

- For quick access to related documentation, users can find resources organized by Topics of Interest, such as 'Medical Submission' or 'Registration'.
- Users can also register for active EDGE Server training series, contact CMS, provide feedback, and more.

NEW TO REGISTRATION?
click the button below

REGISTER
for next event session



DO YOU HAVE FEEDBACK?
click the button below

PROVIDE FEEDBACK
to DDC



Closing Remarks

Closing Remarks

- Immediately following this session you will be directed to complete a survey.
 - Please take a moment to submit any ideas, suggestions, or feedback you may have regarding DDC EDGE Server Series X.